



COPY OF PAPERS
ORIGINALLY FILED

PATENT
Atty. Docket No. 3386.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

6

Applicant: Fred CHRISTIANS et al.

Examiner: Unknown

Serial No: 09/683,613

Group Art Unit: 1646

Filing Date: January 24, 2002

RESPONSE TO NOTICE TO
COMPLY WITH 37 C.F.R. 1.821
TO 1.825

Title: METHODS FOR SCREENING
POLYPEPTIDES

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MAY 16 2002

Commissioner for Patents
Washington, D.C. 20231

TECH CENTER 1600/2900

Sir:

Applicant's attorney requests a one-month extension of time to and including May 7, 2002. A petition for Extension of Time and the appropriate fees are being filed concurrently.

In response to the Notice to Comply with Requirements for Patent Applications containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed February 7, 2002. A Preliminary Amendment is concurrently filed herewith. Figures 10, 11 and 12 have been deleted in this preliminary amendment. These figures have been deleted to correct informalities. After the amendment, all Nucleotide Sequences have been deleted. Therefore, no sequence listing is required for this application.

Respectfully submitted,

Date: 5-1-2002

Wei Zhou
Reg. No. 44,419

Legal Department
Affymetrix, Inc.
3380 Central Expressway
Santa Clara, CA 95051
Tel: 408/731-5000
Fax: 408/731-5392

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 110

Complete if Known

Application Number 09/683,613
Filing Date January 24, 2002
First Named Inventor Fred Christians
Examiner Name Unknown
Group / Art Unit 1646
Attorney Docket No. 3386.1

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METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

01-0431

Deposit
Account
Name

Affymetrix, Inc.

☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 0)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20 **	0	0	0
Independent Claims	3 **	0	0
Multiple Dependent		0	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	110
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 110)

SUBMITTED BY

Name (Print/Type)

Wei Zhou

Registration No. Attorney/Agent)

44,419

Telephone

408-731-5000

Signature

Date

5/1/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

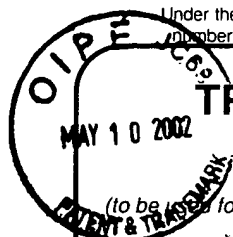
Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0351-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 090/683,613

Filing Date January 24, 2002

First Named Inventor Fred Christians

Group Art Unit 1646

Examiner Name Unknown

Attorney Docket Number 3386.1

Total Number of Pages in This Submission

65

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ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☒ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☒ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☒ Drawing(s)

☐ Licensing-related Papers

☐ Petition Roulng Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Small Entity Statement

☐ Request for Refund

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Additional Enclosure(s) (please identify below):

Acknowledgement Postcard Request to substitute drawings

Remarks

COPY OF PAPER
ORIGINALLY FILED

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Wei Zhou

Signature

Date

5-1-2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: May 1, 2002

Typed or printed name

Leticia Reyes Block

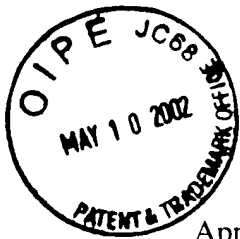
Signature

Leticia Reyes Block

Date

May 1, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



COPY OF PAPERS
ORIGINALLY FILED

PATENT
Attorney Docket No.3386.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christians et al.

Examiner: Unknown

Serial No: 09/683,613

Group Art Unit: 1646

Filing Date: January 24, 2002

INFORMATION DISCLOSURE
STATEMENT

Title: **METHODS FOR SCREENING
POLYPEPTIDES**

Commissioner for Patents
Washington, D.C. 20231

Sir:

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MAY 16 2002
TECH CENTER 1600/2900

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application. Copies of the documents are enclosed.

Citation of these documents shall not be construed as (1) an admission that the document are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 01-0431. Please deduct any additional fees from, or credit any overpayment to the above-noted Deposit Account.

Dated: 5-1-2002

Respectfully submitted,

Wei Zhou
Reg. No. 44,419

Legal Department
Affymetrix, Inc.
3380 Central Expressway
Santa Clara, CA 95051
Tel: (408) 731-5000 / Fax: (408) 731-5392

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PATENT

Atty. Docket No. 3386.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Fred CHRISTIANS et al.

Examiner: Unknown

#7

Serial No: 09/683,613

Group Art Unit: 1646

Filing Date: January 24, 2002

Title: METHODS FOR SCREENING
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TRANSMITTAL OF SUBSTITUTE DRAWINGS

Commissioner for Patents
Washington, D.C. 20231

Sir:

Figures 10 to 12 have been removed from the application. Transmitted herewith are Substitute Figures 13 to 15, consisting of 3 sheets, to be renumbered as Figures 10 to 12, for filing in the subject patent application.

Acceptance of the substitute drawings is respectfully requested.

Respectfully submitted,

Date: 5-1-2002

Wei Zhou
Reg. No. 44,419

Legal Department
Affymetrix, Inc.
3380 Central Expressway
Santa Clara, CA 95051
Tel: 408/731-5000
Fax: 408/731-5392